



1. General information

Course: FINANCIAL MARKETS LAW

Type: ELECTIVE

Degree: 336 - UNDERGRADUATE DEGREE PROGRAMME IN LAW

Center: 401 - FACULTY OF SOCIAL SCIENCES OF CUENCA

Year: 4

Main language: Spanish

Use of additional
languages:

Web site:

Code: 35342

ECTS credits: 4.5

Academic year: 2023-24

Group(s): 30

Duration: First semester

Second language:

English Friendly: N

Bilingual: N

Lecturer: CONSUELO ROMERO SIEIRA - Group(s): 30

| Building/Office | Department | Phone number | Email | Office hours |
|-----------------|---------------------------------|--------------|-------------------------|--------------|
| 2.23 | DERECHO PÚBLICO Y DE LA EMPRESA | 2366 | consuelo.romero@uclm.es | |

Lecturer: CONSUELO ROMERO SIEIRA - Group(s): 30

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| 2.23 | DERECHO PÚBLICO Y DE LA EMPRESA | 2366 | consuelo.romero@poderjudicial.es | |

2. Pre-Requisites

Not established

3. Justification in the curriculum, relation to other subjects and to the profession

Not established

4. Degree competences achieved in this course

Course competences

| Code | Description |
|------|--|
| E01 | To become aware of the importance of law as a regulator of social relations. |
| E02 | To understand the systematic nature of the legal system and the interdisciplinary nature of legal problems. |
| E03 | Learn to use constitutional principles and values as a working tool in the interpretation of the legal system. |
| E04 | Ability to search, identify and use legal sources (legal, jurisprudential and doctrinal). |
| E05 | Ability to read, interpret, analyse and write legal documents from an interdisciplinary perspective. |
| E06 | Master the new technologies by applying them to law (databases of legislation and case law, bibliographies) and use the Internet to obtain information and communicate data. |
| E07 | To critically analyze the legal system that allows the identification of the social values underlying the legal norms and principles. |
| E08 | Work on dialogue, debate, argumentation and the proposal of reasonable solutions in different contexts. |
| E09 | To apply the legal system based on the values and ethical principles of the different legal professions. |
| E10 | Aptitude for negotiation, conciliation, mediation and conflict resolution from a legal perspective. |
| E11 | Ability to create and structure standards. |
| E12 | Analyze the Law and its main public and private legal institutions from their genesis to their current reality. |
| E13 | Solve problems arising from the application of general principles of law and legal norms to factual situations. |
| E14 | Acquire the capacity to provide legal advice to public, private and private entities. |
| E15 | To deepen the analysis of the legal structures - national and European policies and international bodies, as well as their functioning. |
| E16 | Identify the basic theories of economic science and analyse social reality from an economic perspective and its impact on the law. |
| E17 | Understand the legal and fiscal framework that conditions economic and business activity. |
| G01 | Knowledge of a second foreign language, preferably English, at Level B1 of the Common European Framework of Reference for Languages. |
| G02 | Knowledge at the user level of Information and Communication Technologies |
| G03 | A correct oral and written communication. |
| G04 | Incorporate the sense and ethical principles in their professional activity |
| G05 | Commitment to the culture of peace, democratic values, human rights and the principles of equal opportunity, non-discrimination and universal accessibility for persons with disabilities, as well as gender issues. |
| G06 | Understanding of social, economic and environmental problems. |
| G07 | Ability to adapt to new situations and to resolve conflict situations with clear decision and criteria. |
| G08 | Develop skills in interpersonal relationships, teamwork and leadership skills in work groups. |
| G09 | Develop critical and self-critical thinking. |
| G10 | Develop the capacity for analysis and synthesis and promote autonomous learning. |
| G11 | Acquire the ability to organize and plan professional activity. |
| G12 | Working in an international context. |
| G13 | Recognize diversity and multiculturalism, and acquire knowledge of other cultures. |
| G14 | Ability to apply knowledge to practice. |

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|-----|--|
| G16 | Ability to locate, select, process and manage information. To generate and transmit new ideas, promote our own initiatives and seek excellence. |
| G17 | Participate actively in seminars and scientific meetings |

| 5. Objectives or Learning Outcomes |
|------------------------------------|
| Course learning outcomes |
| Not established. |
| Additional outcomes |

| 6. Units / Contents |
|---------------------|
| Unit 1: |
| Unit 1.1 |
| Unit 1.2 |
| Unit 1.3 |
| Unit 1.4 |
| Unit 2: |
| Unit 2.1 |
| Unit 2.2 |
| Unit 2.3 |
| Unit 3: |
| Unit 3.1 |
| Unit 3.2 |
| Unit 4: |
| Unit 4.1 |
| Unit 4.2 |
| Unit 5: |

| 7. Activities, Units/Modules and Methodology | | | | | | | |
|---|-------------|--|--|--------------|----|-----|-------------|
| Training Activity | Methodology | Related Competences (only degrees before RD 822/2021) | ECTS | Hours | As | Com | Description |
| Class Attendance (theory) [ON-SITE] | Lectures | E02 E17 G09 | 0.67 | 16.75 | N | - | |
| Class Attendance (practical) [ON-SITE] | Group Work | E04 E05 | 0.6 | 15 | N | - | |
| Practicum and practical activities report writing or preparation [OFF-SITE] | | E04 E05 E06 E13 G14 | 0.7 | 17.5 | Y | N | |
| Analysis of articles and reviews [OFF-SITE] | | | 0.7 | 17.5 | N | - | |
| Study and Exam Preparation [OFF-SITE] | | | 1.75 | 43.75 | N | - | |
| Final test [ON-SITE] | | | 0.08 | 2 | Y | Y | |
| Total: | | | 4.5 | 112.5 | | | |
| Total credits of in-class work: 1.35 | | | Total class time hours: 33.75 | | | | |
| Total credits of out of class work: 3.15 | | | Total hours of out of class work: 78.75 | | | | |

As: Assessable training activity

Com: Training activity of compulsory overcoming (It will be essential to overcome both continuous and non-continuous assessment).

| 8. Evaluation criteria and Grading System | | | |
|---|-----------------------|----------------------------|-------------|
| Evaluation System | Continuous assessment | Non-continuous evaluation* | Description |
| Practical exam | 40.00% | 0.00% | |
| Final test | 60.00% | 100.00% | |
| Total: | 100.00% | 100.00% | |

According to art. 4 of the UCLM Student Evaluation Regulations, it must be provided to students who cannot regularly attend face-to-face training activities the passing of the subject, having the right (art. 12.2) to be globally graded, in 2 annual calls per subject, an ordinary and an extraordinary one (evaluating 100% of the competences).

| 9. Assignments, course calendar and important dates | |
|--|--------------|
| Not related to the syllabus/contents | |
| Hours | hours |
| Unit 1 (de 5): | |
| Activities | Hours |
| Class Attendance (theory) [PRESENCIAL][Lectures] | 2 |
| Class Attendance (practical) [PRESENCIAL][Group Work] | 1 |
| Practicum and practical activities report writing or preparation [AUTÓNOMA][| 2 |

| | |
|--|--------------|
| Analysis of articles and reviews [AUTÓNOMA][] | 1 |
| Study and Exam Preparation [AUTÓNOMA][] | 2 |
| Unit 2 (de 5): | |
| Activities | Hours |
| Class Attendance (theory) [PRESENCIAL][Lectures] | 5 |
| Class Attendance (practical) [PRESENCIAL][Group Work] | 3 |
| Practicum and practical activities report writing or preparation [AUTÓNOMA][] | 8 |
| Analysis of articles and reviews [AUTÓNOMA][] | 6 |
| Study and Exam Preparation [AUTÓNOMA][] | 11.75 |
| Unit 3 (de 5): | |
| Activities | Hours |
| Class Attendance (theory) [PRESENCIAL][Lectures] | 4 |
| Class Attendance (practical) [PRESENCIAL][Group Work] | 4 |
| Practicum and practical activities report writing or preparation [AUTÓNOMA][] | 8 |
| Analysis of articles and reviews [AUTÓNOMA][] | 2 |
| Study and Exam Preparation [AUTÓNOMA][] | 12 |
| Unit 4 (de 5): | |
| Activities | Hours |
| Class Attendance (theory) [PRESENCIAL][Lectures] | 5 |
| Class Attendance (practical) [PRESENCIAL][Group Work] | 4 |
| Practicum and practical activities report writing or preparation [AUTÓNOMA][] | 6 |
| Analysis of articles and reviews [AUTÓNOMA][] | 4 |
| Study and Exam Preparation [AUTÓNOMA][] | 12 |
| Unit 5 (de 5): | |
| Activities | Hours |
| Class Attendance (theory) [PRESENCIAL][Lectures] | 1 |
| Class Attendance (practical) [PRESENCIAL][Group Work] | 1 |
| Analysis of articles and reviews [AUTÓNOMA][] | .25 |
| Study and Exam Preparation [AUTÓNOMA][] | 6 |
| Final test [PRESENCIAL][] | 1.5 |
| Global activity | |
| Activities | hours |
| Practicum and practical activities report writing or preparation [AUTÓNOMA][] | 24 |
| Class Attendance (theory) [PRESENCIAL][Lectures] | 17 |
| Class Attendance (practical) [PRESENCIAL][Group Work] | 13 |
| Analysis of articles and reviews [AUTÓNOMA][] | 13.25 |
| Final test [PRESENCIAL][] | 1.5 |
| Study and Exam Preparation [AUTÓNOMA][] | 43.75 |
| Total horas: 112.5 | |

| 10. Bibliography and Sources | | | | | |
|---|--|-------------------------|---------------|------|-------------|
| Author(s) | Title/Link | Publishing house Cítrv | ISBN | Year | Description |
| GONZÁLEZ VÁZQUEZ, J.C. (COORS) | Cuestiones controvertidas de la regulación bancaria | La Ley | | 2018 | |
| MARTÍ MIRAVALLS/MARIMÓN DURÁ | Problemas actuales y recurrentes de los mercados financieros | ARanzadi | | 2019 | |
| CAMPUZANO/CONLLEDO/PALOMO (Dírs.) | LOS MERCADOS FINANCIEROS | Tirant lo Blanch | 9788491694755 | 2017 | |
| JIMENO MUÑOZ, J. | La responsabilidad civil en el ámbito de los ciberriesgos | fundación MAPFRE | 9788498446678 | 2018 | |
| AAVV. | ESTUDIOS DE DERECHO DEL MERCADO FINANCIERO. HOMENAJE AL PROFESOR VICENTE CUÑAT EDO | UNIVERSITAT DE VALENCIA | | 2010 | |
| AFI (ANALISTAS FINANCIEROS INTERNACIONALES) | SISTEMA FINANCIERO ESPAÑOL (6ª ED.) | | | 2012 | |
| ALONSO LEDESMA. C. (Dir) | Hacia un sistema financiero de nuevo cuño | Tirando lo Blanch | 9788491193241 | 2016 | |
| BELANDO GARÍN, B. (Dir.) | La supervisión del mercado de valores: la perspectiva del inversor-consumidor | 9788491521105 | | 2017 | |
| BERMEJO, P. | El cerebro del inversor | PIRÁMIDE | 9788436833478 | 2015 | |
| PAZ ARES, C. | La Ley, el mercado y la independencia del auditor | CIVITAS | 9788491355748 | 2016 | |
| SEQUEIRA/GADEA/SACRISTÁN (DIR) | LA CONTRATACIÓN BANCARIA | DYKINSON | | 2007 | |
| SÁNCHEZ CALERO, F. | LEY DEL CONTRATO DE SEGURO (4ª ED) | ARANZADI | | 2011 | |
| VÁZQUEZ GARCÍA, D. | La contratación bancaria en la reciente doctrina del Tribunal Supremo. | Bosch | 9788490901885 | 2017 | |
| ZUZUNEGUI, F. | DERECHO BANCARIO Y BURSÁTIL (2ª ED) | COLEX | | 2012 | |

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|---------------------------------------|--|--------|---------------|------|
| FERNÁNDEZ/ARIAS/MARTÍNEZ, (Coord). | Derecho de sociedades y de mercados financieros. Libro Homenaje a Carmen Alonso Ledesma | IUSTEL | 9788498903447 | 2018 |
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