



1. General information

Course: FINANCIAL MARKETS LAW

Type: ELECTIVE

Degree: 336 - UNDERGRADUATE DEGREE PROGRAMME IN LAW

Center: 401 - FACULTY OF SOCIAL SCIENCES OF CUENCA

Year: 4

Main language: Spanish

Use of additional
languages:

Web site:

Code: 35342

ECTS credits: 4.5

Academic year: 2022-23

Group(s): 30

Duration: First semester

Second language: English

English Friendly: Y

Bilingual: N

Lecturer: CONSUELO ROMERO SIEIRA - Group(s): 30

Building/Office	Department	Phone number	Email	Office hours
2.23	DERECHO PÚBLICO Y DE LA EMPRESA	2366	consuelo.romero@uclm.es	

Lecturer: CONSUELO ROMERO SIEIRA - Group(s): 30

Building/Office	Department	Phone number	Email	Office hours
2.23	DERECHO PÚBLICO Y DE LA EMPRESA	2366	consuelo.romero@poderjudicial.es	

2. Pre-Requisites

Not established

3. Justification in the curriculum, relation to other subjects and to the profession

Not established

4. Degree competences achieved in this course

Course competences

Code	Description
E01	To become aware of the importance of law as a regulator of social relations.
E02	To understand the systematic nature of the legal system and the interdisciplinary nature of legal problems.
E03	Learn to use constitutional principles and values as a working tool in the interpretation of the legal system.
E04	Ability to search, identify and use legal sources (legal, jurisprudential and doctrinal).
E05	Ability to read, interpret, analyse and write legal documents from an interdisciplinary perspective.
E06	Master the new technologies by applying them to law (databases of legislation and case law, bibliographies) and use the Internet to obtain information and communicate data.
E07	To critically analyze the legal system that allows the identification of the social values underlying the legal norms and principles.
E08	Work on dialogue, debate, argumentation and the proposal of reasonable solutions in different contexts.
E09	To apply the legal system based on the values and ethical principles of the different legal professions.
E10	Aptitude for negotiation, conciliation, mediation and conflict resolution from a legal perspective.
E11	Ability to create and structure standards.
E12	Analyze the Law and its main public and private legal institutions from their genesis to their current reality.
E13	Solve problems arising from the application of general principles of law and legal norms to factual situations.
E14	Acquire the capacity to provide legal advice to public, private and private entities.
E15	To deepen the analysis of the legal structures - national and European policies and international bodies, as well as their functioning.
E16	Identify the basic theories of economic science and analyse social reality from an economic perspective and its impact on the law.
E17	Understand the legal and fiscal framework that conditions economic and business activity.
G01	Knowledge of a second foreign language, preferably English, at Level B1 of the Common European Framework of Reference for Languages.
G02	Knowledge at the user level of Information and Communication Technologies
G03	A correct oral and written communication.
G04	Incorporate the sense and ethical principles in their professional activity
G05	Commitment to the culture of peace, democratic values, human rights and the principles of equal opportunity, non-discrimination and universal accessibility for persons with disabilities, as well as gender issues.
G06	Understanding of social, economic and environmental problems.
G07	Ability to adapt to new situations and to resolve conflict situations with clear decision and criteria.
G08	Develop skills in interpersonal relationships, teamwork and leadership skills in work groups.
G09	Develop critical and self-critical thinking.
G10	Develop the capacity for analysis and synthesis and promote autonomous learning.
G11	Acquire the ability to organize and plan professional activity.
G12	Working in an international context.
G13	Recognize diversity and multiculturalism, and acquire knowledge of other cultures.
G14	Ability to apply knowledge to practice.

G16	Ability to locate, select, process and manage information. To generate and transmit new ideas, promote our own initiatives and seek excellence.
G17	Participate actively in seminars and scientific meetings

5. Objectives or Learning Outcomes
Course learning outcomes
Not established.
Additional outcomes

6. Units / Contents
Unit 1:
Unit 1.1
Unit 1.2
Unit 1.3
Unit 1.4
Unit 2:
Unit 2.1
Unit 2.2
Unit 2.3
Unit 3:
Unit 3.1
Unit 3.2
Unit 4:
Unit 4.1
Unit 4.2
Unit 5:

7. Activities, Units/Modules and Methodology							
Training Activity	Methodology	Related Competences (only degrees before RD 822/2021)	ECTS	Hours	As	Com	Description
Class Attendance (theory) [ON-SITE]	Lectures	E02 E17 G09	0.67	16.75	N	-	
Class Attendance (practical) [ON-SITE]	Group Work	E04 E05	0.6	15	N	-	
Practicum and practical activities report writing or preparation [OFF-SITE]		E04 E05 E06 E13 G14	0.7	17.5	Y	N	
Analysis of articles and reviews [OFF-SITE]			0.7	17.5	N	-	
Study and Exam Preparation [OFF-SITE]			1.75	43.75	N	-	
Final test [ON-SITE]			0.08	2	Y	Y	
Total:			4.5	112.5			
Total credits of in-class work: 1.35			Total class time hours: 33.75				
Total credits of out of class work: 3.15			Total hours of out of class work: 78.75				

As: Assessable training activity

Com: Training activity of compulsory overcoming (It will be essential to overcome both continuous and non-continuous assessment).

8. Evaluation criteria and Grading System			
Evaluation System	Continuous assessment	Non-continuous evaluation*	Description
Practical exam	40.00%	0.00%	
Final test	60.00%	100.00%	
Total:	100.00%	100.00%	

According to art. 4 of the UCLM Student Evaluation Regulations, it must be provided to students who cannot regularly attend face-to-face training activities the passing of the subject, having the right (art. 12.2) to be globally graded, in 2 annual calls per subject, an ordinary and an extraordinary one (evaluating 100% of the competences).

9. Assignments, course calendar and important dates	
Not related to the syllabus/contents	
Hours	hours
Unit 1 (de 5):	
Activities	Hours
Class Attendance (theory) [PRESENCIAL][Lectures]	2
Class Attendance (practical) [PRESENCIAL][Group Work]	1
Practicum and practical activities report writing or preparation [AUTÓNOMA][2

Analysis of articles and reviews [AUTÓNOMA][]	1
Study and Exam Preparation [AUTÓNOMA][]	2
Unit 2 (de 5):	
Activities	Hours
Class Attendance (theory) [PRESENCIAL][Lectures]	5
Class Attendance (practical) [PRESENCIAL][Group Work]	3
Practicum and practical activities report writing or preparation [AUTÓNOMA][]	8
Analysis of articles and reviews [AUTÓNOMA][]	6
Study and Exam Preparation [AUTÓNOMA][]	11.75
Unit 3 (de 5):	
Activities	Hours
Class Attendance (theory) [PRESENCIAL][Lectures]	4
Class Attendance (practical) [PRESENCIAL][Group Work]	4
Practicum and practical activities report writing or preparation [AUTÓNOMA][]	8
Analysis of articles and reviews [AUTÓNOMA][]	2
Study and Exam Preparation [AUTÓNOMA][]	12
Unit 4 (de 5):	
Activities	Hours
Class Attendance (theory) [PRESENCIAL][Lectures]	5
Class Attendance (practical) [PRESENCIAL][Group Work]	4
Practicum and practical activities report writing or preparation [AUTÓNOMA][]	6
Analysis of articles and reviews [AUTÓNOMA][]	4
Study and Exam Preparation [AUTÓNOMA][]	12
Unit 5 (de 5):	
Activities	Hours
Class Attendance (theory) [PRESENCIAL][Lectures]	1
Class Attendance (practical) [PRESENCIAL][Group Work]	1
Analysis of articles and reviews [AUTÓNOMA][]	.25
Study and Exam Preparation [AUTÓNOMA][]	6
Final test [PRESENCIAL][]	1.5
Global activity	
Activities	hours
Practicum and practical activities report writing or preparation [AUTÓNOMA][]	24
Class Attendance (theory) [PRESENCIAL][Lectures]	17
Class Attendance (practical) [PRESENCIAL][Group Work]	13
Analysis of articles and reviews [AUTÓNOMA][]	13.25
Study and Exam Preparation [AUTÓNOMA][]	43.75
Final test [PRESENCIAL][]	1.5
Total horas: 112.5	

10. Bibliography and Sources					
Author(s)	Title/Link	Publishing house Cítrv	ISBN	Year	Description
GONZÁLEZ VÁZQUEZ, J.C. (COORS)	Cuestiones controvertidas de la regulación bancaria	La Ley		2018	
MARTÍ MIRAVALLS/MARIMÓN DURÁ	Problemas actuales y recurrentes de los mercados financieros	ARanzadi		2019	
CAMPUZANO/CONLLEDO/PALOMO (Dírs.)	LOS MERCADOS FINANCIEROS	Tirant lo Blanch	9788491694755	2017	
JIMENO MUÑOZ, J.	La responsabilidad civil en el ámbito de los ciberriesgos	fundación MAPFRE	9788498446678	2018	
AAVV.	ESTUDIOS DE DERECHO DEL MERCADO FINANCIERO. HOMENAJE AL PROFESOR VICENTE CUÑAT EDO	UNIVERSITAT DE VALENCIA		2010	
AFI (ANALISTAS FINANCIEROS INTERNACIONALES)	SISTEMA FINANCIERO ESPAÑOL (6ª ED.)			2012	
ALONSO LEDESMA. C. (Dir)	Hacia un sistema financiero de nuevo cuño	Tirando lo Blanch	9788491193241	2016	
BELANDO GARÍN, B. (Dir.)	La supervisión del mercado de valores: la perspectiva del inversor-consumidor	9788491521105		2017	
BERMEJO, P.	El cerebro del inversor	PIRÁMIDE	9788436833478	2015	
PAZ ARES, C.	La Ley, el mercado y la independencia del auditor	CIVITAS	9788491355748	2016	
SEQUEIRA/GADEA/SACRISTÁN (DIR)	LA CONTRATACIÓN BANCARIA	DYKINSON		2007	
SÁNCHEZ CALERO, F.	LEY DEL CONTRATO DE SEGURO (4ª ED)	ARANZADI		2011	
VÁZQUEZ GARCÍA, D.	La contratación bancaria en la reciente doctrina del Tribunal Supremo.	Bosch	9788490901885	2017	
ZUZUNEGUI, F.	DERECHO BANCARIO Y BURSÁTIL (2ª ED)	COLEX		2012	

FERNÁNDEZ/ARIAS/MARTÍNEZ, (Coord).	Derecho de sociedades y de mercados financieros. Libro Homenaje a Carmen Alonso Ledesma	IUSTEL	9788498903447	2018
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